

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Takao SHINOZAWA, et al.

SERIAL NO: NEW APPLICATION

GAU:

FILED: HEREWITH

EXAMINER:

FOR: HOMOLOGY ANALYSIS SYSTEM, HOMOLOGY ANALYSIS METHOD, HOMOLOGY ANALYSIS PROGRAM, AND TRANSACTION ESTABLISHMENT SYSTEM

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the International Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 246628US2CONT		SERIAL NO. NEW APPLICATION	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Takao SHINOZAWA, et al.			
				FILING DATE HEREWITH		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	11-338879	12-10-1999	JAPAN		X	
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	Akihiko YAMAGISHI, Yasuro OSHIMA., "Viva Origino" The Society for the Origin and Evolution of Life, Vol. 22, No. 1, 15 March 1994 (15.03.94), pp. 50-51					
	AX	Robert CEDERGREN, Michael W. GRAY, Yvon ABEL, and David SANKOFF, The Evolutionary Relationships among Known Life Forms, JOURNAL OF MOLECULAR EVOLUTION, 1988/1989, Vol. 28 Nos. 1-2, pp. 98-112					
	AY	Patrick J. KEELING, Robert L. CHARLEBOIS and W. Ford DOOLITTLE, Archaeobacterial genomes: eubacterial form and eukaryotic content, Current Opinion in GENETICS & DEVELOPMENT, 1994, Vol. 4, No. 6, pp. 816-822.					
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner							
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Takao SHINOZAWA, et al.

SERIAL NO.: NEW CONTINUATION APPLICATION

FILED: HERewith

FOR: HOMOLOGY ANALYSIS SYSTEM,
HOMOLOGY ANALYSIS METHOD,
HOMOLOGY ANALYSIS PROGRAM,
AND TRANSACTION ESTABLISHMENT
SYSTEM

STATEMENT OF RELEVANCY

References **AO** and **AW-AY** on PTO Form 1449:

■ These references were cited in a corresponding International search report submitted herewith.

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